## Acceptable non-compliance with the Open Access requirements

Exception	Evidence
At the point of acceptance you were at a different UK HEI which failed to comply with the criteria.	RIES will liaise with Human Resources regarding your contracted start date.
You were unable to secure the use of the repository at the point of acceptance.	Please provide a descriptive explanation of the situation, including of any difficulties encountered. If this involves equality and diversity issues or difficulties arising from complex staff circumstances please arrange to speak to Dr Matt Briggs in RIES who will consult with Human Resources and advise on what information is required. We only need minimal information and your privacy will be foremost.
You experienced a delay in securing the final peer- reviewed text (for instance, where a paper has multiple authors).	Please provide a descriptive explanation of difficulties encountered.
You were not employed by a UK HEI at the time of submission for publication.	RIES will liaise with Human Resources regarding your contracted start date.
It would be unlawful to deposit, or request the deposit of, the output.	Please provide reasonable and descriptive explanation of difficulties encountered (where lawful to collect and record).
Depositing the output would present a security risk.	Please provide reasonable and descriptive explanation of difficulties encountered (where safe to collect and record).
The output depends on the reproduction of third party content for which open access rights could not be granted (either within the specified timescales, or at all)	Where it is not obvious that the output depends on third- party material, please provide a descriptive explanation of the exact difficulties encountered.
The publication concerned requires an embargo period that exceeds the stated maxima, and was the most appropriate publication for the output.	The University must show that a process was in place to allow us to examine and consider the range of venues that allowed deposit within the rules.
	The University's Open Access Publications Policy states that permission must be sought from the Research Committee for non-compliant publication. Please contact the open access team at <a href="mailto:repository@uwtsd.ac.uk">repository@uwtsd.ac.uk</a> who will organise for your case to be considered by the Committee.
The publication concerned actively disallows open- access deposit in a repository, and was the most appropriate publication for the output.	The University must show that a process was in place to allow us to examine and consider the range of venues that allowed deposit within the rules.
	The University's Open Access Publications Policy states that permission must be sought from the Research Committee for non-compliant publication. Please contact the open access team at <a href="mailto:repository@uwtsd.ac.uk">repository@uwtsd.ac.uk</a> who will organise for your case to be considered by the Committee.